



Attorney's Docket No. 03660.P001x

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Yoav Shoham, et al.

Application No.: 09/339,325

Filed: June 23, 1999

For: A Method an Apparatus for a  
Universal Trading Market Design  
and Deployment System

Examiner: Young, J.

Art Unit: 2162

RECEIVED  
OCT 22 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §1.97(e)

Sir:

I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

  x   That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on October 12, 2001.

(Date of Deposit)

La Renda Meyer

(Typed or printed name of person mailing correspondence)

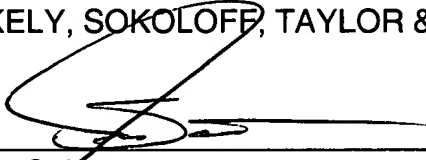
*LMeyer* 10/12/01  
(Signature of person mailing correspondence)

\_\_\_\_\_ That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/12, 2001

  
\_\_\_\_\_  
James Salter  
Reg. No. 35,668

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



2162

PATENT

Attorney's Docket No. 03660.P001x

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )

Yoav Shoham, et al. )

Application No.: 09/339,325 )

Filed: June 23, 1999 )

For: A Method and an Apparatus for )  
a Universal Trading Market Design )  
and Deployment System )

Examiner: Young, J.

Art Unit: 2162

# 9/100

Assistant Commissioner for Patents  
Washington, D.C. 20231RECEIVED  
OCT 22 2001  
Technology Center 2100INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on 10/12/01.

(Date of Deposit)

La Renda Meyer

(Typed or printed name of person mailing correspondence)

La Renda Meyer  
(Signature of person mailing correspondence)

10/18/2001 YPOLITE1 00000090 09339325

01 FC:126

180.00 OP

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

  x   37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:


- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/12/, 2001



---

James Salter  
Reg. No. 35,668

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300